

The Nilpotence Theorem

Neil Strickland

May 18, 2018

Statement of the Theorem

Let R be a finite ring spectrum, and let u be an element of $\pi_*(R)$. Suppose that the image of u in $\pi_*(MU \wedge R)$ is nilpotent. Then u itself is nilpotent.

This was conjectured by Ravenel, and proved by Hopkins, Devinatz and Smith. It is the key foundational result of chromatic homotopy theory.

We will introduce some spectra

$$S^0 = X(1) \rightarrow X(2) \rightarrow X(3) \rightarrow \cdots \rightarrow X(\infty) = MU$$

$$0 = X(n, 0) \rightarrow X(n) = X(n, 1) \rightarrow X(n, 2) \rightarrow X(n, 3) \rightarrow \cdots \rightarrow X(n, \infty) = X(n+1)$$

and prove some facts about their properties. The Nilpotence Theorem will follow easily from these.

Three preliminary reductions:

- If E is a ring spectrum, then u becomes nilpotent in $\pi_*(E \wedge R)$ iff $E \wedge R[u^{-1}] = 0$. (Note: this depends only on the Bousfield class of E .)
- For a sequence of ring spectra $E(i)$ with colimit $E(\infty)$ we have $E(\infty) = 0$ iff $1 = 0$ in $\lim_{\rightarrow i} \pi_0(E(i))$ iff $E(i) = 0$ for $i \gg 0$.
- For the rest of the talk, we will fix a prime p and work p -locally. It is not hard to recover the integral statement from the p -local ones.

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Properties (a) and (b) are easy. Property (c) is moderately hard. The main work is to prove property (d).

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Properties (a) and (b) are easy. Property (c) is moderately hard. The main work is to prove property (d).

Properties of the spectra $X(n, k)$

- (a) $MU = X(\infty)$ is the colimit over n of $X(n)$ (and these are ring spectra).
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The Adams resolution property

Let E be a ring spectrum.

- ▶ Say $f: X \rightarrow Y$ has E -filtration at least s if f can be written as a composite of s maps f_i , each with $1_E \wedge f_i = 0$.
- ▶ An E -resolution of Y is a tower of spectra

$$Y = Y_0 \xleftarrow{g_1} Y_1 \xleftarrow{g_2} Y_2 \xleftarrow{g_3} \dots$$

such that $1_E \wedge g_i = 0$ for all i , and each fibre $F_i = \text{fib}(g_i)$ admits an E -module structure.

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Construction of $X(n)$

For ease of comparison with formal group theory, we put $P = \bigvee_{n \in \mathbb{Z}} S^{2n}$ and $MP = MU \wedge P$ and $XP(n) = X(n) \wedge P$ and $XP(n, k) = X(n, k) \wedge P$.

Consider an even periodic ring spectrum E , with associated formal group $G = \text{spf}(E^0(\mathbb{C}P^\infty))$ over $S = \text{spec}(E_0)$.

- (a) $E_0MP = E_0[b_0^{\pm 1}, b_1, b_2, \dots]$, and $\text{spec}(E_0MP)$ is the scheme $\text{Coord}(G)$ of coordinates on G .
- (b) MP is the Thom spectrum of the tautological virtual bundle over $\mathbb{Z} \times BU$. So, $E_0(\mathbb{Z} \times BU)$ is isomorphic to E_0MP , but not in a canonical way.
- (c) $\text{spec}(E_0(\mathbb{Z} \times BU))$ is the scheme of invertible functions on G . This acts freely and transitively on $\text{Coord}(G)$ by multiplication.
- (d) Bott periodicity: $\mathbb{Z} \times BU = \Omega U$. This gives a virtual bundle over $\Omega U(n)$; define $XP(n)$ to be the Thom spectrum. (Use $\Omega SU(n)$ for $X(n)$.)
- (e) $E_0XP(n) = E_0[b_0^{\pm 1}, b_1, \dots, b_{n-1}]$, and $\text{spec}(E_0(XP(n)))$ is the scheme of n -jets of coordinates on G . (But $\pi_*XP(n)$ is not fully known.)
- (f) $E_0XP(n, m)$ will be the free module over $E_0XP(n)$ generated by $\{b_n^i \mid 0 \leq i < m\}$. This looks like m copies of $XP(n)$, making it plausible that $\langle X(n) \rangle = \langle X(n, m) \rangle$. But there are attaching maps.

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The Bott periodicity map

- ▶ Put $A = \mathbb{C}[z]$ and $K = \mathbb{C}[z, z^{-1}]$.
- ▶ By interpreting z as a point in $S^1 \subset \mathbb{C}$, we get a map $GL_n(K) \rightarrow \text{Map}(S^1, GL_n(\mathbb{C})) \simeq \text{Map}(S^1, U(n))$; this can be shown to be a homotopy equivalence.
- ▶ Using $h_t(z) = tz$ we get $GL_n(A) \simeq GL_n(\mathbb{C}) \simeq U(n)$.
- ▶ This gives $\Omega U(n) \simeq \text{Map}(S^1, U(n))/U(n) \simeq GL_n(K)/GL_n(A)$.
- ▶ A lattice in K^n is an A -submodule $L \leq K^n$ with $z^r A^n \leq L \leq z^{-r} A^n$ for $r \gg 0$. The set of lattices is the $GL_n(K)$ -orbit of A^n , which has stabiliser $GL_n(A)$; so $\{\text{lattices}\} \simeq \Omega U(n)$.
- ▶ For any lattice L we have a virtual vector space $(L/z^r A) - (A/z^r A)$ for $r \gg 0$. This is the bundle over $\Omega U(n)$ whose Thom spectrum is $X(n)$.
- ▶ Define $\rho: \mathbb{C}P^{n-1} \rightarrow \Omega U(n)$ by $\rho(L)(z) = z \cdot 1_L \oplus 1_{L^\perp}$.
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- ▶ We have $S^{2n+1} = S^{2n} \wedge S^1$ and so can define $\eta: S^{2n} \rightarrow \Omega S^{2n+1}$ by $\eta(u)(t) = u \wedge t$.
- ▶ This extends to give $J(n) \rightarrow \Omega S^{2n+1}$, which is a homotopy equivalence.
- ▶ $E_0 J(n) = E_0[b_n]$, and $E_0 J(n, m) = E_0\{b_n^i \mid i < m\}$.
- ▶ $\text{spec}(E_0 J(n))$ is the scheme of n -jets of invertible functions on G , for which the corresponding $(n-1)$ -jet is trivial. This is a group scheme which acts freely on $\text{Coord}_n(G) = \text{spec}(E_0 XP(n))$, with orbit space $\text{Coord}_{n-1}(G) = \text{spec}(E_0 XP(n-1))$.
- ▶ We define $XP(n, m)$ to be the Thom spectrum for $(\Omega \epsilon)^{-1} J(n, m) \subset \Omega U(n+1)$.

The James construction

- ▶ Define $\epsilon: U(n+1) \rightarrow S^{2n+1}$ by $\epsilon(g) = \text{last column of } g$.
- ▶ This gives a homeomorphism $U(n+1)/U(n) = S^{2n+1}$, so $(\Omega U(n+1))/(\Omega U(n)) = \Omega S^{2n+1}$.
So ΩS^{2n+1} controls the difference between $XP(n)$ and $XP(n+1)$.
- ▶ Let $J(n)$ be the topological monoid freely generated by S^{2n} , mod the relation that the basepoint is the identity element.
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What is special about the p -power stages?

- ▶ We have defined $J(n, m)$ for all $m \geq 0$, but the cases $m = p^k$ play a special role.
- ▶ $H_* J(n) = \mathbb{Z}[b_n]$, and the monoid structure on $J(n)$ makes this a Hopf algebra, with $\psi(b_n) = b_n \otimes 1 + 1 \otimes b_n$.
- ▶ Let $x_n^{[k]} \in H^{2nk} J(n)$ be dual to b_n^k . We find that $x_n^{[j]} x_n^{[k]} = \frac{(j+k)!}{j!k!} x_n^{[j+k]}$, so we have a divided power algebra.
- ▶ Put $u_k = x_n^{[p^k]} \in H^{2np^k}(J(n); \mathbb{F}_p)$.
Using standard congruences of binomial coefficients, we find that

$$H^*(J(n); \mathbb{F}_p) = \mathbb{F}_p[u_0, u_1, u_2, \dots] / (u_0^p, u_1^p, u_2^p, \dots).$$

- ▶ This is abstractly isomorphic to $H^*(J(n, p^k); \mathbb{F}_p) \otimes H^*(J(np^k); \mathbb{F}_p)$.
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- ▶ This gives $N = \binom{r}{m}$ points in $S^{2^{nm}}$, say c_1, \dots, c_N (in lex order). This in turn gives a point $h_m(w) = c_1 c_2 \cdots c_N \in J(nm)$. This gives a well-defined, continuous map $h_m: J(n) \rightarrow J(nm)$, called the *James-Hopf map* (not a monoid map).
- ▶ If $r < m$ we get $h_m(w) = 1$, and if $r = m$ we get $h_m(w) = a_1 \wedge \cdots \wedge a_r$. Using this we get $h_m^*(x_{nm}) = x_n^{[m]}$ and so $h_m^*(x_{nm}^{[j]}) = (mj)! m!^{-1} j!^{-m} x_n^{[mj]}$.
- ▶ When $m = p^k$, we find that the above numerical coefficients are nonzero mod p , so $h_{p^k}^*: H^*(J(np^k); \mathbb{F}_p) \rightarrow H^*(J(n); \mathbb{F}_p)$ is just the inclusion

$$\mathbb{F}_p[u_k, u_{k+1}, \dots]/(u_i^p) \rightarrow \mathbb{F}_p[u_0, u_1, \dots]/(u_i^p).$$

- ▶ It is easy to see that $J(n, p^k) \rightarrow J(n) \xrightarrow{h_{p^k}} J(np^k)$ is null so we get a map from $J(n, p^k)$ to the homotopy fibre of h_{p^k} . Using the above calculation, one can show that this is an equivalence.

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- ▶ For any virtual bundle V over X with Thom spectrum X^V , there is a natural “diagonal map” $\delta: X^V \rightarrow X^V \wedge X_+$.
- ▶ We can combine $\delta: X(n+1) \rightarrow X(n+1) \wedge (\Omega SU(n+1))_+$ with $\Omega\epsilon: \Omega U(n+1) \rightarrow \Omega S^{2n+1} \simeq J(n)$ and $h_{p^k}: J(n) \rightarrow J(np^k)$ to get maps

$$X(n+1) \xrightarrow{\gamma} X(n+1) \wedge J(n)_+ \xrightarrow{1 \wedge h_{p^k}} X(n+1) \wedge J(np^k)_+.$$

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- ▶ The evident map $S^0 \rightarrow J(np^k)_+$ gives another map η parallel to ζ with $\eta_*(b_i) = b_i \otimes 1$ for all i ; the equaliser of ζ_* and η_* is $E_0XP(n, p^k)$.
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- ▶ We need to prove that $\langle XP(n, p^k) \rangle = \langle XP(n) \rangle$.
- ▶ In general, let R be a ring spectrum, and M and R -module.
If $R \wedge Z = 0$ then $M \wedge R \wedge Z = 0$, but M is a retract of $M \wedge R$, so $M \wedge Z = 0$. This gives $\langle M \rangle \leq \langle R \rangle$.
- ▶ As a special case: $\langle XP(n, m) \rangle \leq \langle XP(n) \rangle$.
- ▶ It will now suffice to show that $\langle XP(n, p^k) \rangle \leq \langle XP(n, p^{k+1}) \rangle$.
- ▶ Here is the general pattern for the proof:
Suppose we have $f: U \rightarrow \Sigma^a U$ and $g: \Sigma^b V \rightarrow V$, with $\text{fib}(f) \simeq \text{cof}(g)$.
Suppose also that $V[g^{-1}] = 0$.
We claim that $\langle V \rangle \leq \langle U \rangle$, i.e. $U \wedge Z = 0 \Rightarrow V \wedge Z = 0$.
- ▶ Indeed, if $U \wedge Z = 0$, then

$$\text{fib}(f) \wedge Z = \text{fib}(U \wedge Z \xrightarrow{f \wedge 1_Z} \Sigma^a U \wedge Z) = 0.$$

But $\text{fib}(f) = \text{cof}(g)$, so $\text{cof}(g) \wedge Z = 0$, so $\text{cof}(g \wedge 1_Z) = 0$, so $g \wedge 1_Z$ is an equivalence. This means that the map $V \wedge Z \rightarrow V[g^{-1}] \wedge Z$ is an equivalence, but $V[g^{-1}] = 0$, so $V \wedge Z = 0$ as required.

- ▶ So it will suffice to define self maps ξ and r of $XP(n, p^{k+1})$ and $XP(n, p^k)$ with $\text{fib}(\xi) = \text{cof}(r)$ and $XP(n, p^k)[r^{-1}] = 0$.

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Relating $X(n, p^k)$ to $X(n, p^{k+1})$

- ▶ There is an evaluation map $\Sigma\Omega S^{2n+1} \rightarrow S^{2n+1}$ given by $t \wedge u \mapsto u(t)$. Desuspending gives a stable map $\omega: J(n) \rightarrow S^{2n}$. Put

$$\xi = (XP(n+1) \xrightarrow{\zeta} XP(n+1) \wedge J(np^k) \xrightarrow{1 \wedge \omega} XP(n+1) \wedge S^{2np^k}).$$

- ▶ On $E_0XP(n+1)$ we get $\xi_*(u) = (p^k!)^{-1} \partial^{p^k} u / \partial b_n^{p^k}$.
- ▶ One can check that ξ restricts to give a map $\xi: XP(n, p^{k+1}) \rightarrow XP(n, p^{k+1}) \wedge S^{2np^k}$, with fibre F say.
- ▶ Here $\ker(\xi_*)$ and $\text{cok}(\xi_*)$ are the bottom and top copies of $E_0XP(n, p^k)$ in $E_0XP(n, p^{k+1})$, so $E_0F \simeq E_1F \simeq E_0XP(n, p^k)$.
- ▶ By yoga of triangulated categories: there is a self map r of $XP(n, p^k)$, of degree $2np^{k+1} - 2$, with $\text{cof}(r) = F = \text{fib}(\xi)$; and $1_E \wedge r = 0$.
- ▶ This means that $E \wedge XP(n, p^k)[r^{-1}] = 0$ for any complex-oriented E , and it will suffice to show that $XP(n, p^k)[r^{-1}]$ itself is zero.
- ▶ Key insight: there is a certain ring spectrum $\mathcal{E}(np^k)$, closely related to the definition of r , complex-orientable for a nonobvious reason.
- ▶ In fact $\mathcal{E}(m) = u^{-1} \Sigma_+^\infty \Omega J(m)$ for a certain u , and this is complex-orientable because it is an algebra over the mod p Eilenberg-MacLane spectrum H .

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- ▶ We will show that $H_*\Omega J(m)$ is nearly cofree, and $H_*\mathcal{E}(m) = u^{-1}H_*\Omega J(m)$ is actually cofree.
- ▶ All the relevant rings have a polynomial part tensored with an exterior part.
- ▶ Ignoring the exterior part, H_*H corresponds to the scheme $\text{Aut}_1(G_a)$ of series $f(t) = \sum_i a_i t^{p^i}$ with $a_0 = 1$.
- ▶ Ignoring the exterior part, $H_*\Omega J(1)$ corresponds to the scheme $\text{End}_0(G_a)$ of series $g(t) = \sum_i b_i t^{p^i}$ with $b_0 = 0$. The element u maps to b_1 .
- ▶ $\text{Aut}_1(G_a)$ acts on $\text{End}_0(G_a)$ by $f_\bullet(g)(t) = g(f^{-1}(t))$, and this action is nearly free. It becomes free after inverting $b_1 = u$.
- ▶ $\Omega J(m)$ splits stably as $\bigvee_{q=0}^{\infty} S^{2mq} \wedge D(q)$, with $D(q)$ independent of m and $u \in \pi_{-2}D(p)$. So $\mathcal{E}(m)$ is actually independent of m .

Doubly looped spheres

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This can only work out if the map $A \rightarrow H_* \Omega J(m)$ is an isomorphism, and $b_m^{p^j}$ hits u_j , and $b_m^{(p-1)p^j} u_j$ hits v_{j+1} .

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